



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	08/856,376
Filing Date	May 14, 1997
First Named Inventor	Chee, Mark
Art Unit	1631
Examiner Name	Ardin Marschel
Attorney Docket Number	018547-025010US

Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AM	AQ	Ruvolo et al., Mitochondrial COII sequences and modern human origins," Molecular Biology and Evolution, 10:1115 (1993).	
	AR	Seneca et al., "Importance of sequence analysis in the NARP syndrome," J. Inherited Metabolic Disorders, 18 (1):97 (1995).	
	AS	Tanaka and Ozawa, "Strand asymmetry in human mitochondrial DNA mutations," Genomics, 22(2):327 (1994).	

Examiner Signature	Ardin Marschel	Date Considered	1-22-04
-----------------------	----------------	--------------------	---------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (08-03)

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	08/856,376		
		Filing Date	May 14, 1997		
		First Named Inventor	Chee, Mark		
		Art Unit	1631		
		Examiner Name	Ardin Marschel		
Sheet	1	of	3	Attorney Docket Number	018547-025010US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Ardin Marschel</i>	Date Considered	1-22-04
-----------------------	-----------------------	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60068963 v1



PTO/SB/08B (08-03)

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **3****Complete if Known**

Application Number	08/856,376
Filing Date	May 14, 1997
First Named Inventor	Chee, Mark
Art Unit	1631
Examiner Name	Ardin Marschel
Attorney Docket Number	018547-025010US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AM	AA	Ginther et al., "Identifying individuals by sequencing mitochondrial DNA from teeth," Nature Genetics, 2:135 (10/1992).	
	AB	Greenberg et al., "Intraspecific nucleotide sequence variability surrounding the origin of replication in human mitochondrial DNA," Gene 21(1-2):33 (1983).	
	AC	Howell et al., "Mitochondrial gene segregation in humans: is the bottleneck always narrow?" Human Genetics, 90:117 (1992).	
	AD	Howell et al., "When does bilateral optic atrophy become Leber hereditary optic atrophy?" American Journal of Human Genetics, 53:959 (1993).	
	AE	Hutchin et al., "A molecular basis for human hypersensitivity to aminoglycoside antibiotics," NAR 21(18):4174 (1993).	
	AF	Ikebe et al., "Point mutations of mitochondrial genome in Parkinson's disease," Molecular Brain Research 28(2):281 (1995).	
	AG	Isenberg and Moore, "Mitochondrial DNA Analysis at the FBI Laboratory," Forensic Science Communications, Vol. 1, No. 2 (7/1999).	
	AH	Johns and Neufeld, "Pitfalls in the molecular genetic diagnosis of Leber hereditary optic neuropathy (LHON)," American Journal of Human Genetics, 53 (4):916 (1993).	
	AI	Marzuki et al., "Normal variants of human mitochondrial DNA and translation products: building a reference data base," Human Genetics, 88 (2):139 (1991).	
	AJ	Mehta, et al., "A new genetic polymorphism in the 16S ribosomal RNA gene of human mitochondrial DNA," Annals of Human Genetics, 53 (Pt. 4):303 (1989).	
	AK	Moraes, et al., "Two novel pathogenic mitochondrial DNA mutations affecting organelle number and protein synthesis. Is the tRNA Leu(UUR) gene an etiologic hot spot?" J. of Clinical Investigation, 92(6):2906 (1993).	
	AL	Ozawa et al., "Distinct clustering of point mutations in mitochondrial DNA among patients with mitochondrial encephalomyopathies and with Parkinson's disease," BBRC, 176 (2):938 (1991).	
	AM	Ozawa et al., "Patients with idiopathic cardiomyopathy belong to the same mitochondrial gene family of Parkinson's disease and mitochondrial encephalomyopathy," BBRC 177(1):518 (1991).	
	AN	Petrzzella et al., "Is a point mutation in the mitochondrial ND2 gene associated with Alzheimer's disease?" BBRC 186:491 (1992).	
	AO	Prezant et al., "Mitochondrial ribosomal RNA mutation associated with both antibiotic-induced and non-syndromic deafness," Nature Genetics, 4 (3):289.	
✓	AP	Reid et al., "Complete mtDNA sequence of a patient in a maternal pedigree with sensorineural deafness," Human Molecular Genetics, 3(8):1435 (1994).	

Examiner  
Signature

Ardin Marschel

Date  
Considered

1-22-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.